IAP7 Rec'd PCT/PTO 23 JAN 2006

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER
64771(51994)
U.S. AFPIICATION NO (ILL)

| CONCERNING A SUBMISSION  | •   | U.S. APPLICATION NO (ILMO), 589 37 FR 1.5)     |
|--|---|--|
| INTERNATIONAL APPLICATION NO.  | INTERNATIONAL FILING DATE                               | PRIORITY DATE CLAIMED                          |
| PCT/EP2004/007524 TITLE OF INVENTION                                     | 8 July 2004   | 23 July 2003                                   |
| SEAL RING AND MECHANICAL SEAL APPLICANT(S) FOR DO/EO/US                  | DEVICE FOR JET ENGINES                                  |  |
| Peter Haselbacher et al.   |   |  |
| Applicant herewith submits to the United Sta                             | tes Designated/Elected Office (DO/EO/                   | US) the following items and other information: |
| 1. X This is a FIRST submission of item                                  | ns concerning a submission under 35 U.                  | S.C. 371.                                      |
| 2. This is a SECOND or SUBSEQUE  | NT submission of items concerning a so                  | ubmission under 35 U.S.C. 371.                 |
| This is an express request to begin include items (5), (6), (9) and (21) | n national examination procedures (35 Lindicated below. | J.S.C. 371(f)). The submission must            |
| 4. X The US has been elected (Article 3                                  | 31).  |  |
| 5. x A copy of the International Applicat                                | tion as filed (35 U.S.C. 371 (c)(2))                    |  |
| a. x is attached hereto - with Interr                                    | national Search Report                                  |  |
| b. has been communicated by the  | ne International Bureau.                                |  |
| c. is not required, as the applicat                                      | tion was filed in the United States Recei               | iving Office (RO/US).                          |
| 6. x An English language translation of                                  | the International Application as filed (35              | 5 U.S.C. 371(c)(2)).                           |
| a. X is attached hereto.   |   |  |
| b. has been previously submitted   | d under 35 U.S.C. 154(d)(4).                            |  |
| 7. x Amendments to the claims of the li                                  | nternational Application under PCT Artic                | de 19 (35 U.S.C. 371(c)(3))                    |
| a. are attached hereto (required   | only if not communicated by the Interna                 | tional Bureau).                                |
| b. have been communicated by t   | the International Bureau.                               |  |
| c. have not been made; howeve  | r, the time limit for making such amendr                | ments has NOT expired.                         |
| d. x have not been made and will i                                       | not be made.  |  |
| 8. An English language translation of                                    | the amendments to the claims under Pe                   | CT Article 19 (35 U.S.C. 371(c)(3)).           |
| 9. X An oath or declaration of the invent                                | tor(s) (35 U.S.C. 371(c)(4)).                           |  |
| 10. An English language translation of Article 36 (35 U.S.C. 371(c)(5)). | the annexes of the International Prelimi                | inary Examination Report under PCT             |
| Items 11 to 20 below concern docur                                       | nent(s) or information included:                        |  |
| 11. x An Information Disclosure Stater                                   | ment under 37 CFR 1.97 and 1.98.                        |  |
| 12. X An assignment document for recor                                   | rding. A separate cover sheet in compli                 | ance with 37 CFR 3.28 and 3.31 is included.    |
| 13. A preliminary amendment.   |   |  |
| 14 An Application Data Sheet under                                       | 37 CFR 1.76.  |  |
| 15. A substitute specification.  |   |  |
| 16. A power of attorney and/or chang                                     | e of address letter.                                    |  |
| 17. A computer-readable form of the                                      | sequence listing in accordance with Po                  | CT Rule 13ter.2 and 37 CFR 1.821 – 1.825.      |
| 18. A second copy of the published Ir                                    | nternational Application under 35 U.S.C                 | C. 154(d)(4).                                  |
| 19. A second copy of the English lang                                    | guage translation of the international a                | pplication under 35 U.S.C. 154(d)(4).          |
|  |   |  |
|  |   |  |

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMER CE d to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to

| U.S. APPOCATION NOTETHING ON 1580 CTCFR1.50 INTERNATIONAL APPLICATION NO. PCT/EP2004/007524  |  |   |  | ATTORNEY'S DOCKET NUMBER<br>64771(51994)   |                 |  |           |                    |    |             |
|--|--|---|--|--|-----------------|--|-----------|--------------------|----|-------------|
| 20. X Other items or information: German Search Report with cited references Two (2) sheets of Formal Drawings Return Receipt Postcard |  |   |  |  |                 |  |           |                    |    |             |
| The foll   | lowing fee   | es have   | been submitte  | ed .   |                 |  | CA        | LCULATION          | 10 | PTO USEONLY |
|  | •  |   |  | ))   |                 | \$300  | \$        | 300.0              |    | FIO OSEONET |
| 22. x Examilify the written opin prepared by it  | nination fe  | ee (37 (<br>ed by IS.<br>dicates a                                  | CFR 1.492(c)) A/US or the internal claims satisfy pr   | ational preliminary exam   | inatio<br>33(1) | on report<br>-(4)\$0   | \$        | 200.0              | 00 |             |
| 23. X Sear If the written opin IPEA/US Search fee (37 C Internation International Sea  | ch fee (37<br>nion of the I<br>indicates al<br>CFR 1.445(i<br>nal Searchi<br>arch Report<br>y communic | 7 CFR ISA/US of It claims a)(2)) ha ing Author prepare cated to the | 1.492(b)) or the international satisfy provisions s been paid on the orityd by an ISA other the US by the IB | I preliminary examination of PCT Article 33(1)-(4) a international application than the US and provide | n repo          | ort prepared by \$0 he USPTO as an \$100 the Office or \$400 | <b>69</b> | 500.0              | 00 |             |
|  | TOTAL O  | F 21, 22  | and 23 =   |  |                 |  | \$        | 1,000.0            | 00 |             |
| sequer<br>electro  | nce listing in<br>nic medium   | n complia<br>n) (37 CF  | ance with 37 CFR<br>R 1.492(j)).   | ed in paper over 100 she<br>1.821(c) or (e) or compu<br>s of paper or fraction the                     | ıter p          | rogram listing in an   |           |                    |    |             |
| Total Sheets   | Extra She  | eets  |  | additional 50 or fraction<br>up to a whole number)   |                 | RATE   |           |                    |    |             |
| 14 - 100 =   |  | /50 =   |  |  |                 | x \$250.00   | \$        |                    |    |             |
|  |  |   |  | e, examination fee, or the (37 CFR 1.492(h)).  | oath            | or declaration   | \$        |                    |    |             |
| CLAIMS   | 6  | NUN   | BER FILED  | NUMBER EXTRA   |                 | RATE   |           |                    |    |             |
| Total clair  | ns   | 2   | 1 - 20 =   | 1  | х               | 50.00  |           | 50.0               | 0  |             |
| Independent  | claims   |   | 2 - 3 =  |  | x               |  |           | 0.0                | 00 |             |
| MULTIPLE DEP   | ENDENT C   | CLAIM(S   | ) (if applicable)  |  | +               | ·  |           |                    |    |             |
|  |  |   |  | TOTAL OF A   | BO              | /E CALCULATIONS =  | \$        | 1,050.0            | 00 |             |
| Applican   | t claims sm  | nall entity   | status. See 37 C   | CFR 1.27. Fees above a   | re re           | duced by 1/2.  |           |                    |    |             |
|  |  |   |  |  |                 | SUBTOTAL =   | \$        | 1,050.0            | 00 |             |
| Processing fee of<br>claimed priority of   |  |   |  | anslation later than 30 m  | onth            | s from the earliest  | \$        |                    |    |             |
|  | _  |   |  |  | TOT             | AL NATIONAL FEE =  | \$        |                    | 1  | ,050.00     |
|  |  |   |  | .21(h)). The assignmen   | t mu:           | st be accompanied<br>+                                       | \$\$      |                    |    | 40.00       |
|  |  |   |  | Te   | OTAI            | FEES ENCLOSED =  | \$        |                    | 1  | ,090.00     |
|  |  |   |  |  |                 |  |           | unt to be<br>nded: | \$ |             |
|  |  |   |  |  |                 |  | Amo       | unt to be<br>ged   | \$ | 1,090.00    |
|  |  |   |  |  |                 |  |           |                    |    |             |

40/565872 2006

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMER CE
to a collection of information unless it displays a valid OMB control number.

| A check in the amount of \$ to cove   | r the above fees is en                          | closed.                              |                                 |
|---|---|--------------------------------------|---------------------------------|
| Please charge my Deposit Account No. 04-1105 A duplicate copy of this sheet is enclosed.                                  | in the amount of \$                             | 1,090.00                             | to cover the above fees.        |
| The Commissioner is hereby authorized to charge any addition Account No04-1105 A duplicate copy of                        | =   | -                                    | dit any overpayment to Deposit  |
| Fees are to be charged to a credit card. WARNING: Inform should not be included on this form. Provide credit card         | nation on this form ma<br>information and autho | y become pu blic<br>orization on PTO | : Credit card Information -2038 |
| TE: Where an appropriate time limit under 37 CFR 1.495 has ad an granted to restore the International Application to pend |   | tion to revive (2                    | 7 CFR 1.137(a) or (b)) must b   |
|   |   | / B                                  |                                 |
| ND ALL CORRESPONDENCE TO:   | SIGN  | ATURE /                              |                                 |
| ott D. Wofsy<br>DWARDS ANGELL PALMER & DODGE LLP<br>O. Box 55874  |   | Scott D                              | Wofey                           |
| oston, Massachusetts 02205<br>03) 975-7505  | NAME  |                                      | . ***                           |
| STOMER NUMBER: 21874  | REGI  | 35,4                                 | 413<br>IBER                     |
|   | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,         |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
| •   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |
|   |   |                                      |                                 |

PTO/SB/17 (12-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. Not Met Assigned 5 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number Concurrently Herewith FEE TRANSMITTAL Filing Date First Named Inventor Peter Haselbacher For FY 2005 **Examiner Name** Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit 64771US (51994) **TOTAL AMOUNT OF PAYMENT** 1.090.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order Other (please identify): Edwards Angell Palmer & Dodge LLP Deposit Account Deposit Account Number: 04-1105 Deposit Account Name For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) x | Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity** Small Entity **Small Entity Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 200 100 100 50 130 65 Design Plant 200 100 300 150 160 80 500 250 600 300 Reissue 300 150 200 100 0 O 0 0 Provisional 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 Multiple Dependent Claims **Total Claims Extra Claims** Fee Paid (\$) 21 Fee Paid (\$) Fee (\$) Indep. Claims Extra Claims Fee Paid (\$) - 3 = 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) Number of each additional 50 or fraction thereof **Total Sheets** Extra Sheets Fee (\$) 12 (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1631 Basic National Stage fee 300.00 1633 National Stage Examination Fee - all other ... 200.00 1632 National Stage Search Fee - all other situations 500.00 40.00 8021 National Stage recording each patent assignment, SUBMITTED BY Registration No. Signature 35,413 Telephone (203) 975-7505 (Attorney/Agent) Name (Print/Type) Scott/D. Wofs Date January 17, 2006 I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 722 913 851 US, in an envelope addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. Signature clith Sill man (Edith Sillman) Dated: January 17, 2006

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 64771(51994)

## IAP20 Rec'd FCT/PTO 23 JAN | 2006

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 722913851 US in an envelope addressed to:

> MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

January 23, 2006 Date

Edith D. Sillman Typed or printed name of person signing Certificate (203) 353-6832 Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office Fee Transmittal **Preliminary Amendment** English translation of the specification with two (2) sheets of formal drawings **Executed Declaration and Power of Attorney** Copy of International Application No. WO 2005/015063 A1 with International Search Report Information Disclosure Statement Form PTO-1449 with references cited in the German Search Report **Executed Assignment with Recordation Cover Sheet** Charge \$1,090.00 to deposit account 04-1105